



GAU/282/24
04-402

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Inventor: Robert C. Newman, Jr. et al

Serial No.: 09/887,848

Filed: June 22, 2001

Title: ELECTRONIC BALLAST

Art Unit: 2821

Examiner:

CERTIFICATE OF MAILING
UNDER 37 C.F.R. 1.8(a)

I hereby certify that this paper, along with any paper referred to as being attached or enclosed, is being deposited with the United States Postal Service on the date indicated below, with sufficient postage, as first class mail, in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Rita Shovlin

(Typed or printed name of person mailing paper or fee.)

Rita Shovlin
(Signature of person mailing paper or fee.)

January 16, 2002

(Date.)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT:

Pursuant to 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. This information disclosure statement shall not be construed as a representation that a search has been made. Inclusion of information in this statement is not to be construed as an admission that this information is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the information be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, no additional fee is required.

- ☐ In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, therefore:
- ☐ Certification in Accordance with §1.97(e) is attached; or
 - ☐ The fee of **\$240.00** as set forth in §1.17(p) is attached.
- ☐ In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee, therefore included are:
- ☐ Certification in Accordance with §1.97(e);
 - ☐ Petition Requesting Consideration of the Information Disclosure Statement; and
 - ☐ the fee of **\$130.00** as set forth in §1.17(i)(1).
- ☒ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:
- ☐ In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.
 - ☐ In accordance with §1.98(d), copies of the following references listed on the attached Form PTO-1449 are not enclosed herewith because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application(s) for which a claim for priority under 35 U.S.C. §120 have been made in the instant application:

- ☐ Copies of references [list as appropriate] listed on the attached Form PTO-1449 were previously cited by or submitted to the Patent and Trademark Office in prior application Serial No. , filed .
- ☐ If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 122421.

This form is submitted in triplicate.

Respectfully Submitted,

LUTRON ELECTRONICS COMPANY

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Date: January 16, 2002